

# Mundo Minerals Limited

## Corporate Governance

### POLICY ON TRADING IN COMPANY SECURITIES

#### Introduction

The Company wishes to ensure that its stakeholders have confidence in the trading activities of Directors and Senior Management in respect to the Company's securities. To this end the Company has put in place a policy covering trading in the Company's securities by Directors and Senior Management.

#### General Principals

- No Director or senior manager may buy or sell Shares without first discussing the matter with the chairman (in the case of Directors) or the managing director (in the case of senior managers and the managing director will bring it before the chairman). If the chairman wishes to buy or sell shares he must first discuss the matter with the Board.
- Unless there are unusual circumstances, trading in shares by Directors and senior management is limited to specific periods, such as following the release of an annual report or price sensitive information, to be identified from time to time.
- Directors and senior management may not trade in shares for short term gains.
- Directors and senior management must be aware of and observe their obligations under the Corporations Act not to buy or sell shares if in possession of price sensitive non-public information and that they do not communicate price-sensitive non-public information to any person who is likely to buy or sell shares or communicate such information to another party.

#### Notification of trading

As required by the Corporations Act and the ASX Listing Rules, directors must notify the Company Secretary of any dealings in the Company's securities within 5 business days of the date of any such dealings.

#### General

The requirements imposed by this policy are separate from and additional to, the legal prohibitions in the Corporations Act on insider trading.